

UNITED STATES DISTRICT COURT
EASTERN DISTRICT OF TENNESSEE
AT GREENEVILLE

UNITED STATES OF AMERICA)	
)	
v.)	No.: 2:04-CR-070
)	
MARIO ANTOINE GRAVES)	

MEMORANDUM AND ORDER

This criminal case is before the Court on the defendant's motion for sentence reduction. [Doc. 147]. Through counsel, the defendant asks the Court to reduce his sentence pursuant to Section 404 of the First Step Act of 2018, Pub. L. No. 115-391, 132 Stat. 5194, which retroactively applies certain provisions of the Fair Sentencing Act of 2010, Pub. L. 111-220, 124 Stat. 2372. The government has responded in opposition to the motion [doc. 149], and the defendant has submitted a reply. [Doc. 150]. For the reasons that follow, the defendant's motion will be granted.

I. Authority

"Federal courts are forbidden, as a general matter, to modify a term of imprisonment once it has been imposed, but the rule of finality is subject to a few narrow exceptions." *Freeman v. United States*, 564 U.S. 522, 526 (2011) (internal citation and quotation marks omitted). One such exception is identified in 18 U.S.C. § 3582(c)(1)(B), which provides that "the court may modify an imposed term of imprisonment to the extent otherwise expressly permitted by statute" The First Step Act, which was enacted on December 21, 2018, is one such statute.

Section 404(b) of the First Step Act instructs that the “court that imposed a sentence for a covered offense may, on motion of the defendant . . . , impose a reduced sentence as if sections 2 and 3 of the Fair Sentencing Act of 2010 . . . were in effect at the time the covered offense was committed.” A covered offense is “a violation of a Federal criminal statute, the statutory penalties for which were modified by section 2 or 3 of the Fair Sentencing Act of 2010 . . . , that was committed before August 3, 2010.” First Step Act, § 404(a).¹

Prior to the Fair Sentencing Act of 2010, 21 U.S.C. § 841 mandated an enhanced sentence of twenty years to life for violations of section 841(a)(1) involving 50 grams or more of cocaine base if a defendant committed that offense “after a prior conviction for a felony drug offense ha[d] become final.” *See* 21 U.S.C. § 841(b)(1)(A)(iii) (2009). For 841(a)(1) violations involving five grams or more (but less than 50 grams) of cocaine base, the enhanced statutory penalty was ten years to life. *See id.* § 841(b)(1)(B)(iii). Since the 2010 enactment of the Fair Sentencing Act, the 841(b)(1)(A)(iii) penalties now apply only to offenses involving 280 grams or more of cocaine base, and the 841(b)(1)(B)(iii) penalties apply only to offenses involving 28 grams or more (but less than 280 grams) of cocaine base. *See* 21 U.S.C. § 841(b) (2018); *Dorsey v. United States*, 567 U.S. 260, 269 (2012).

In the present case, the defendant was convicted of conspiring to distribute and to possess with the intent to distribute 50 grams or more of cocaine base, in violation of 21

¹ Section 404(c) of the First Step Act imposes additional limitations, none of which apply in this case.

U.S.C. § 841(a)(1). [Doc. 74]. His Presentence Investigation Report (“PSR”) deemed him responsible for a drug quantity of at least 1.5 kilograms.

The United States opposes the instant motion on multiple grounds. Primarily, it presents various arguments that the defendant is not eligible for First Step Act relief because he was not sentenced for a “covered offense” as defined by that legislation. Further, it is the United States’ position that granting the instant motion (and those of similarly situated defendants) would result in “mass disparity” which would “defeat[] the plain Congressional intent in the First Step Act of assuring like treatment of like offenders.” [Doc. 149, p. 3, 7].

As noted, the First Step Act defines a “covered offense” as “a violation of a Federal criminal statute, the statutory penalties for which were modified by section 2 or 3 of the Fair Sentencing Act of 2010 . . . ,” First Step Act, § 404(a). The United States argues that the limitation “the statutory penalties for which were modified by” in fact describes the word “violation” rather than the immediately preceding words “a Federal criminal statute.” In turn, the United States submits that the word “violation” means the entirety of a defendant’s conduct—such as the drug quantity found in a PSR or the factual basis of a plea agreement—rather than the drug quantity threshold of the statute of conviction. Therefore, according to the government, a court can still find a § 404 movant responsible (for *statutory* sentencing purposes) for the higher drug quantity from, for example, his PSR. That is so, says the government, because the Constitutional safeguards of *Alleyne* were not “in effect at the time the covered offense was committed,” First Step Act, § 404(b), and the

holdings of those cases are not to be applied retroactively. *See Apprendi v. New Jersey*, 530 U.S. 466, 490 (2000) (With the exception of prior convictions, facts that increase a crime’s penalty beyond the statutory *maximum* must be submitted to the jury and proved beyond a reasonable doubt.); *Alleyne v. United States*, 570 U.S. 99, 114-16 (2013) (extending *Apprendi*’s holding to facts that increase statutory *minimums*).

A venture into the definitional morass of the United States’ “violation” argument is unnecessary because this Court finds that the First Step Act uses the words “the statutory penalties for which were modified by” to describe the immediately preceding words “a Federal criminal statute.” *See, e.g., United States v. Rose*, 379 F. Supp. 3d 223, 228 (S.D.N.Y. 2019) (persuasively explaining that § 404(a)’s “‘penalties’ clause modifies the adjacent noun phrase, ‘Federal criminal statute[,]’” rather than the more distant noun, “violation.”). “Here, the “penalties clause’ is most naturally read as modifying ‘Federal criminal statute,’ the noun phrase immediately next to it.” *Id.*

Further, this Court agrees with those cases which have rejected the United States’ *Alleyne* argument. True, *Apprendi* and *Alleyne* do not retroactively apply on collateral review, but the majority of courts to thus far consider the issue have concluded that those cases’ holdings are nonetheless applicable to § 404. *See, e.g., United States v. Burke*, No. 2:08-CR-63(1), 2019 WL 2863403, at *3 (E.D. Tenn. July 2, 2019) (Greer, J.) (collecting cases). “[I]t is not only appropriate but also realistic to presume that Congress was thoroughly familiar with . . . important precedents . . . and that it expected its enactment to be interpreted in conformity with them.” *Cannon v. Univ. of Chicago*, 441 U.S. 677, 699

(1979); *accord Burke*, 2019 WL 2863403 at *3 (collecting cases). “The First Step Act neither directs nor implies that the Court should perpetuate the application of an unconstitutional practice when determining a new sentence that complies with the Act’s directives, and many courts faced with the issue have applied the *Apprendi* rule in First Step Act re-sentencings.” *United States v. Stone*, No. 1:96 CR 403, 2019 WL 2475750, *2 (N.D. Ohio June 13, 2019) (collecting cases).²

Thus,

eligibility under the language of the First Step Act turns on a simple, categorical question: namely, whether a defendant's offense of conviction was a crack cocaine offense affected by the Fair Sentencing Act. If so, the defendant is categorically eligible for consideration regardless of actual quantities. The particular quantities affect only the Court's discretionary call on whether to grant a reduction in sentence.

...

... This is a categorial decision based on the type of prior conviction, not any particular quantity determination. The Court then determines whether to exercise its discretion to reduce the defendant's sentence. This determination is informed by a guideline comparison between the guidelines as they existed during the original sentencing and the guidelines as they exist today, as well as from any other information the parties present or the Court chooses to consider. Based on this information, the Court will then determine the extent of any reduction it decides in its discretion to award, consistent with statutory limits, non-binding guideline considerations, and the Section 3553 factors.

² As noted, the instant defendant’s PSR deemed him responsible for at least 1.5 kilograms of cocaine base. It would unjust for this Court to hold the defendant *statutorily* accountable for that amount for purposes of First Step Act eligibility. There are lower burdens of proof at sentencing, where also the Federal Rules of Evidence do not apply. “Many things might have been different if this crime had been committed and charged years later or the Fair Sentencing Act had been passed years earlier, and the Court is unwilling to engage in a series of hypotheticals about what might have happened had aspects of the case been fundamentally altered.” *United States v. Dodd*, 372 F. Supp. 3d 795, 799 (S.D. Iowa 2019).

United States v. Boulding, 379 F. Supp. 3d 646, 648, 651, 654 (W.D. Mich. 2019).

This Court is not persuaded by the United States’ predictions of “an odd result” of “profoundly unfair and illogical disparities.” [Doc. 149, p. 5, 7]. An “odd result,” in this Court’s eyes, would flow instead from the conclusion that Congress intended for § 404 to apply only to those few pre-Fair Sentencing Act defendants whose judicially-found relevant conduct fell within the gaps (between 5 and 28 grams or between 50 and 280 grams) of the pre- and post-First Step Act statutory thresholds. In this Court’s experience, based on more than thirty years on the federal bench, the government’s proposed interpretation would restrict § 404 relief to a miniscule subset of cocaine base defendants. Stated differently, § 404 would be virtually meaningless, and courts “should not lightly conclude that Congress enacted a self-defeating statute.” *Quarles v. United States*, 139 S. Ct. 1872, 1879 (2019).

No matter which way this or any other Court rules on the current wave of § 404 motions, one party (or, most likely, both) will be able to continue conjuring examples of resultant disparity. However, relevant conduct remains a bedrock component of the *guideline range* calculation, thus serving to limit sentencing disparity.

Turning to the instant defendant, as noted he was found guilty to conspiring to distribute and possess with the intent to distribute fifty grams or more of cocaine base in violation of 21 U.S.C. §§ 841(a)(1) and 841(b)(1)(A). Section 2 of the Fair Sentencing Act of 2010 modified the statutory penalties for that federal criminal statute. *See* Fair Sentencing Act, 124 Stat. 2372. The defendant committed his crime in 2003, which

obviously was prior to August 3, 2010. Thus, the defendant was sentenced by this Court for “a covered offense” and is eligible to be considered for First Step Act relief.

II. Factual Background

In January 2016, this Court reduced the defendant’s sentence from 262 to 240 months as authorized by 18 U.S.C. § 3582(c)(2) and Amendments 782 and 788 to the United States Sentencing Commission Guidelines Manual (“U.S.S.G.”). [Doc. 135]. In that ruling, the Court summarized the sentencing history of this case.

By judgment dated August 23, 2005, this Court sentenced the defendant to a term of imprisonment of 360 months as to Count One (a cocaine base distribution conspiracy). The defendant’s guideline range was 360 months to life, based on a total offense level of 38 and a criminal history category of VI. The offense of conviction carried an enhanced mandatory minimum sentence of 240 months.

In July 2010, the Court reduced the defendant’s sentence to 324 months. [Doc. 106]. Pursuant to 18 U.S.C. § 3582(c)(2) and U.S.S.G. Amendment 706, the defendant’s guideline range was lowered to 324 to 405 months, based on a total offense level of 36 and a criminal history category of VI.

In April 2014, the Court again reduced the defendant’s sentence pursuant to 18 U.S.C. § 3582(c)(2). [Doc. 128]. Applying U.S.S.G. Amendment 750, the defendant’s guideline range was lowered to 262 to 327 months, based on a total offense level of 34 and a criminal history category of VI. The court reduced the defendant’s sentence to 262 months.

[Doc. 135, p. 3].

Amendment 782 lowered the defendant’s guideline range to 240 to 262 months, based on a total offense level of 32, a criminal history category of VI, and the enhanced 240-month mandatory minimum which remained in place. *Id.* p. 3-4. The Court reduced

the defendant's sentence to 240 months. According to the Bureau of Prisons, the defendant is presently scheduled for release on November 9, 2021.

III. Analysis

As noted, the defendant's motion is authorized by the First Step Act because he was sentenced for "a violation of a Federal criminal statute, the statutory penalties for which were modified by section 2 or 3 of the Fair Sentencing Act of 2010 . . . , that was committed before August 3, 2010." *See* First Step Act, § 404(a). Specifically, the enhanced statutory term of imprisonment for the drug quantity found by the jury in this case (50 grams or more of cocaine base) was reduced by section 2 of the Fair Sentencing Act *from* a range of twenty years to life (21 U.S.C. § 841(b)(1)(A)) *to* a range of ten years to life (21 U.S.C. § 841(b)(1)(B)).

As a result, the low end of the defendant's advisory guideline range is no longer restricted by a 240-month mandatory minimum. Instead, that range—still based on a total offense level of 32 and a criminal history category of VI—is now an unrestricted 210 to 262 months.

The Court has considered the filings in this case, along with the relevant 3553(a) factors. The Court has also reviewed the defendant's Bureau of Prisons SENTRY Report dated May 28, 2019. In its January 2016 sentence reduction order, the Court commented on the contents of the defendant's then-current SENTRY Report as follows:

The court also notes the United States' observation that the defendant has incurred a handful of disciplinary sanctions while incarcerated. Those infractions were relatively minor and, in the Court's view, have been sufficiently addressed by the Bureau of Prisons.

Also noted are the defendant's significant post-sentencing educational and vocational rehabilitative efforts. In that respect, the defendant has spent his time in custody doing just what this Court asked him to do.

[Doc. 135, p. 4].

Disappointingly, the defendant has incurred two more disciplinary sanctions since the entry of the Court's January 2016 order. However, those infractions were also relatively minor and have been adequately addressed by the Bureau of Prisons. Conversely, the Court remains impressed by the defendant's ongoing rehabilitative efforts. The Court accordingly finds that the defendant should again be granted a sentence reduction.

IV. Conclusion

For the reasons provided herein, the defendant's motion [doc. 147] is **GRANTED**. The defendant's term of imprisonment is reduced to **210 months**.

If this sentence is less than the amount of time the defendant has already served, the sentence shall instead be reduced to "time served." In that case, this order shall take effect ten days from its entry so as to give the Bureau of Prisons sufficient time to process the defendant's release.

The defendant shall remain subject to a ten-year term of supervised release. The Court is mindful that, by statute, the defendant's enhanced mandatory period of supervision is now only "at least 8 years." *See* 21 U.S.C. § 841(b)(1)(B). However, in light of the defendant's extensive criminal history and his misconduct while incarcerated, the Court finds that a ten-year supervision term remains necessary.

While on supervised release, the defendant shall be subject to the following additional special condition of supervision:

The defendant shall submit his person, property, house, residence, vehicle, papers, computers [as defined in 18 U.S.C. § 1030(e)(1)], other electronic communications or data storage devices or media, or office, to a search conducted by a United States Probation Officer or designee. Failure to submit to a search may be grounds for revocation of release. The defendant shall warn any other occupants that the premises may be subject to searches pursuant to this condition. An officer may conduct a search pursuant to this condition only when reasonable suspicion exists that the defendant has violated a condition of his supervision and that the areas to be searched contain evidence of this violation. Any search must be conducted at a reasonable time and in a reasonable manner.

Except as provided herein, all provisions of the judgment dated August 23, 2005, shall remain in effect.

IT IS SO ORDERED.

ENTER:

s/ Leon Jordan
United States District Judge